



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q76587

Kouji TAKAHASHI, et al.

Appln. No.: 10/619,181

Group Art Unit: Not yet assigned

Confirmation No.: 4972

Examiner: Unknown

Filed: July 15, 2003

For: GLASS SUBSTRATE FOR A MASK BLANK, METHOD OF PRODUCING A GLASS SUBSTRATE FOR A MASK BLANK, MASK BLANK, METHOD OF PRODUCING A MASK BLANK, TRANSFER MASK, AND METHOD OF PRODUCING A TRANSFER MASK

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents, other than any U.S. patents and patent publications, is submitted herewith.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date; (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under 37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required.

INFORMATION DISCLOSURE STATEMENT  
USSN: 10/619,181

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for foreign language documents, Applicant submits the following explanations:

**English language abstracts, submitted herewith, constitute concise statements of relevance for Japanese Patent Nos. 2002-201042 and 10-40267.**

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

*Alan J. Kasper Reg. No. 38,251*

*AM* Alan J. Kasper  
Registration No. 25,426

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE  
**23373**  
CUSTOMER NUMBER

Date: January 26, 2004

Substitute for Form 1449 A & B/PTO		<i>Complete if Known</i>	
<b><u>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</u></b>		Application Number	10/619,181
		Confirmation Number	4972
		Filing Date	July 15, 2003
		First Named Inventor	Kouji TAKAHASHI
		Art Unit	Not yet assigned
		Examiner Name	Unknown
Sheet	1	of	1
		Attorney Docket Number	
		Q76587	

## **U.S. PATENT DOCUMENTS**

---

**FOREIGN PATENT DOCUMENTS**

---

## **NON PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to indicate here if English language Translation is attached.